

**Penn Mutual Asset Management Funds — IRA Asset Transfer/Direct Rollover Request For Assistance Call: 877-762-6552 / 877-PMA-MLLC**

**GENERAL INFORMATION**

Please read the Funds' prospectus for important information about the Funds and the IRA Custodial Agreement and Disclosure Statement for important information regarding IRA Investments and retain them for your files.

Please complete the items below if you are transferring assets from another institution, are initiating a direct rollover from a corporate retirement plan, a transfer from another IRA to a Penn Mutual Asset Management Funds IRA or converting a traditional IRA at another institution to a Penn Mutual Asset Management Funds Roth Conversion IRA. If this is a new IRA account in the Penn Mutual Asset Management Funds, you must also complete an IRA Application.

We will contact your present Trustee/Custodian to arrange the transfer. If you have any questions or need additional forms, please call 877-762-6552 / 877-PMA-MLLC.

If transfer/rollover is being added to an existing Penn Mutual Asset Management Funds IRA account, please provide account number: \_\_\_\_\_.

**1 IRA REGISTRATION**

NAME OF IRA ACCOUNTHOLDER \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

( )

SOCIAL SECURITY NUMBER \_\_\_\_\_ DAYTIME TELEPHONE NUMBER \_\_\_\_\_

E-MAIL ADDRESS \_\_\_\_\_

**2 PRESENT TRUSTEE/CUSTODIAN**

NAME OF PRESENT TRUSTEE/CUSTODIAN OR PLAN ADMINISTRATOR \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

FUND NAME & ACCOUNT NUMBER AT PRESENT TRUSTEE \_\_\_\_\_

TELEPHONE NUMBER OF PRESENT TRUSTEE/CUSTODIAN \_\_\_\_\_

**3 TRANSFER/DIRECT ROLLOVER INSTRUCTIONS**

I have established an Individual Retirement Account (IRA). Please transfer my assets in accordance with the instructions below and mail the check to: Penn Mutual Asset Management Funds, c/o SS&C GIDS, Inc., P.O. Box 219009, Kansas City, MO 64121-9009. Make the check payable to Penn Mutual Asset Management Funds.

- Liquidate all assets in my IRA Account and transfer the entire proceeds.
- Liquidate only part of my assets in my IRA Account and transfer \$ \_\_\_\_\_.
- Liquidate ONLY the assets listed below (For CDs):  
 Account Number \_\_\_\_\_  
 Immediately     At maturity on: \_\_\_\_\_
- Directly roll over my qualified plan distribution to my IRA.  
 (Contact your employer for additional requirements).

**4 ACCOUNT TYPE TO BE TRANSFERRED**

- IRA
- Rollover IRA
- Employer Qualified Plan, 401(k), Profit Sharing Plan
- Roth Contributory IRA, original start date of \_\_\_\_\_
- Roth Conversion IRA, original start date of \_\_\_\_\_
- SEP IRA
- 457 Plan
- 403(b) Plan

**5 SIGNATURE & AUTHORIZATION**

I hereby agree to the terms and conditions set forth in this transfer authorization and acknowledge having established an Penn Mutual Asset Management Funds IRA through execution of the IRA Application Form.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

**NOTE:** Your present Custodian may require a signature guarantee. Please check with that institution for requirements. If required, please complete the following:

**Signature Guaranteed By:**

NAME OF BANK OR FIRM \_\_\_\_\_

SIGNATURE OF OFFICER \_\_\_\_\_ TITLE \_\_\_\_\_



**This section to be completed by SEI Private Trust Company**

SEI Private Trust Company hereby agrees to accept the transfer described above and upon receipt of cash or other assets will apply the proceeds to the Penn Mutual Asset Management Funds Customer Sub-Account established on behalf of the Customer.

SEI PRIVATE TRUST COMPANY:

BY \_\_\_\_\_ DATE \_\_\_\_\_

TITLE \_\_\_\_\_